### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## **Declaration for Patent Application**

[ ] Supplemental (37 C.F.R. §1.67)

As a named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed) or an original, first and joint inventor (if plural names are listed in the signatory page(s) commencing at page 2 hereof) of the subject matter which is claimed and for which a patent is sought on the invention entitled

| is claim | ned and for which a patent is sought on the invention entitled   |
|----------|--|
|          | PURIFICATION OF RED BLOOD CELLS BY SEPARATION AND DIAFILTRATION  |
| the spec | cification of which (check one)  |
| []       | is attached hereto.  |
| [X]      | was filed on February 28, 2002 as United States Application  |
|          | Number or PCT International Application No. 10/090,428   |
|          | and was amended on (if applicable).  |
| includir | I hereby state that I have reviewed and understand the contents of the above-identified specification, ag the claims, as amended by any amendment referred to above.   |
|          | I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. ncluding for continuation-in-part applications, material information which became available between the ate of the prior application and the national or PCT international filing date of the continuation-in-part tion. |

I hereby claim foreign priority benefits under 35 U.S.C. 119 or 365 of any foreign application(s) for patent or inventor's certificate, or of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

|          |           | Prior 1     | or Foreign Application(s) Priority Not Claimed |     |   |   | Certified<br>Copy Filed<br>YES N |   |   |
|----------|-----------|-------------|--|-----|---|---|----------------------------------|---|---|
| (Number) | (Country) |             | (Day/Month/Year filed)                         | _ [ | ] | [ | ]                                | [ | ] |
| (Number) | (Country) | <del></del> | (Day/Month/Year filed)                         | _ [ | ] | [ | }                                | [ | ] |
| (Number) | (Country) |             | (Day/Month/Year filed)                         | _ [ | ] | [ | ]                                | [ | ] |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| Full name of sole                         | 1                                |                                  |
|---|----------------------------------|----------------------------------|
| or first inventor                         | Repet A. Moutchens               |                                  |
| Inventor's Signature                      | Robert A. Troubeline             | Date <u>23APRO 2</u>             |
| Residence                                 |                                  |                                  |
|   | Milford, Massachusetts 01757     |                                  |
| Citizenship                               | USA                              | ·                                |
| Mailing Address                           | Same as above                    |                                  |
| Full name of second joi                   | int                              |                                  |
| inventor, if any                          | Maria S. Gawryl                  |                                  |
| Inventor's Signature                      |                                  |                                  |
| _   | 256 Marginal Street              | v                                |
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| Citizenship                               | USA                              |                                  |
|   | Same as above                    |                                  |
| Full name of third joint inventor, if any |                                  |                                  |
| Inventor's Signature                      |                                  | Date 23 Apr 62                   |
| Residence                                 |                                  |                                  |
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| Citizenship                               | USA                              |                                  |
| Mailing Address                           | **                               |                                  |
| Full name of fourth join                  |                                  | 58888888888888888888888888888888 |
| inventor, if any                          | Javed Baqai                      |                                  |
| Inventor's Signature                      | Javed Burger                     | Date LBAPROZ                     |
| Residence                                 | 16 Pheasant Lane                 |                                  |
|   | Lexington, Massachusetts 02421   |                                  |
| Citizenship                               | USA                              |                                  |
| Mailing Address                           | Same as above                    |                                  |
|   |                                  |                                  |



## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT AND CORRESPONDENCE ADDRESS

| Application Number     | 10/090,428          |
|------------------------|---------------------|
| Filing Date            | February 28, 2002   |
| First Named Inventor   | Robert A. Houtchens |
| Group Art Unit         | 1641                |
| Examiner Name          | Not Assigned        |
| Attorney Docket Number | 1161.2002-000       |

|     | 104/-  | to a called a |   |                                    |  |  |
|-----|--|---------------|---|------------------------------------|--|--|
|     | I/We hereby appoint  |               |   |                                    |  |  |
|     | [X]  | , ,           |   |                                    |  |  |
|     | []   | Practiti      | ioner(s) named below:   |                                    |  |  |
|     |  | -             |   |                                    |  |  |
| •   |  |               |   |                                    |  |  |
|     |  |               | torneys/agents to prosecute the application identified above, and to transact all business tand Trademark Office connected therewith. | n the United                       |  |  |
|     | The c  | correspo      | ondence address for the above-identified application is:  |                                    |  |  |
|     | [X]  | Custon        | mer Number 021005   |                                    |  |  |
|     |  | Hamilto       | on, Brook, Smith & Reynolds, P.C.   | 1 00                               |  |  |
|     |  | 530 Vir       | rginia Road   | 1 28                               |  |  |
|     |  | P.O. Bo       | ox 9133   | N YO                               |  |  |
|     |  | Concor        | rd, Massachusetts 01742-9133  | LLY FEB                            |  |  |
|     | []   | Other         |   | COPY OF PAPERS<br>ORIGINALLY FILED |  |  |
|     |  |               |   | 8 g                                |  |  |
|     |  |               |   |                                    |  |  |
|     | Please direct all telephone calls and facsimiles to:   |               |   |                                    |  |  |
|     | Name N. Scott Pierce, Esq. Tel. No. 978-341-0036 Fax No. 978-341-0136  |               |   |                                    |  |  |
|     |  |               |   |                                    |  |  |
|     | I am 1   |               |   |                                    |  |  |
|     | []   | Applica       | ant/Inventor.   |                                    |  |  |
|     | [X] Authorized representative of the Assignee of the entire interest. See 37 C.F.R. 3.71. A Statement under 37 C.F.R. §3.73(b) is enclosed.        |               |   |                                    |  |  |
|     | [ ] Authorized representative of an assignee together with [ ] of the entire interest. A separate Statement under 37 C.F.R. § 3.73(b) is enclosed. |               |   |                                    |  |  |
|     |  |               | SIGNATURE of Applicant or Assignee of Record  |                                    |  |  |
|     | Name   |               | Maria S. Gawryl   |                                    |  |  |
|     | Signa  | ture          |   |                                    |  |  |
|     | Date   |               | 18 Jun 02   | **                                 |  |  |
|     |  |               |   |                                    |  |  |
|     | [X]  | Total o       | of [ 1] forms are submitted.  |                                    |  |  |
| - 0 | DEDeckto   | DAAMOO        | HODMA/iManage;280219;1  |                                    |  |  |

# STATEMENT UNDER 37 C.F.R. § 3.73(b)

| Applica   | ints:          | Robert A. Koatchens, Maria S. Ga                               | wryl, William R. Light and Javed Baqai   |
|-----------|----------------|--|--|
| Applica   | tion No.:      | 107 <del>090,4</del> 28  | Filed: February 28, 2002   |
| For:      |                | PURIFICATION OF RED BLOOD                                      | CELLS BY SEPARATION AND DIAFILTRATION  |
|           |                | Biopure Corporation (Name of Assignee)                         | , a <u>Corporation</u> , (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)   |
| states th | nat it is      |  |  |
| A.        | [X]            | the assignee of the entire right, title                        | and interest in the patent application identified above; or  |
| B.        | [ ]            |  | ] of the entire right, title and interest in the patent application identified 37 CFR § 3.73(b) is being submitted.  |
| The rigi  | ht, title ar   | nd interest of the above-named assign                          | nee in the patent application identified above is established by virtue of:  |
| A. [ X ]  | An assi        | gnment from the inventor(s) of the pa<br>demark Office at Reel | tent application identified above. The assignment was recorded in the Pater, Frame, for a copy thereof is attached.  |
| OR        |                |  |  |
| B.[]      | A chain        | of title from the inventor(s) of the pa                        | atent application identified above, to the current assignee as shown below:  |
|           | 1.             | The document was recorded in the l                             | To: Patent and Trademark Office at, or a copy thereof is attached.   |
|           | 2.             | From: The document was recorded in the I Reel, Frame           | To:Patent and Trademark Office at, or a copy thereof is attached.  |
|           | 3.             |  | Patent and Trademark Office at, or a copy thereof is attached.  To: Patent and Trademark Office at, or a copy thereof is attached.  le are listed on a supplemental sheet. |
|           | [ ] <b>A</b> d | ditional documents in the chain of tit                         | le are listed on a supplemental sheet.   |
| The unc   | dersigned      | (whose title is supplied below) is aut                         | thorized to act on behalf of the assignee.   |
| Date:     |                | Ne   | -  |
| Name:_    | _/             | Maria S. Gawryl  | -  |
| Title:    |                | Vice President   | -  |
| Signatu   |                | 18 Time 02   | •  |



<u>Joint</u>

#### **ASSIGNMENT**

WHEREAS, we, Robert A. Houtchens, Maria S. Gawryl, William R. Light and Javed Baqai, have invented a certain improvement in PURIFICATION OF RED BLOOD CELLS BY SEPARATION AND DIAFILTRATION described in an application for Letters Patent of the United States, the specification of which:

| []  | is being executed on even date herewith and is about to be filed in the United States Patent Office; |  |  |  |
|-----|--|--|--|--|
| [X] | was filed on February 28, 2002 as Application No. 10/090,428;  |  |  |  |
| []  | was patented under U.S. Patent No. [ ] on [ ].   |  |  |  |

WHEREAS, Biopure Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the State of Delaware, and having a usual place of business at 11 Hurley Street, Cambridge, Massachusetts 02141 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

| <del></del>              |                               |  |
|--------------------------|-------------------------------|--|
| Inventor's Signature:    | flaket A. Her                 | ulekend  |
| _                        | Rober                         | rt A. Houtchens  |
| State/Commonwealth       |                               |  |
| of Vnastac               | huselfs                       |  |
|                          | 1                             | An and the first term of the f |
| County of Mill           | llsey                         | A CONTRACTOR OF THE CONTRACTOR |
|                          |                               |  |
| Then personally appeared | before me the above-named     | Robert A. Houtchens and  |
| acknowledged that he exe | cuted the foregoing instrumen | ent as his free act and deed this  |
|                          | <u>april</u> , 200            |  |
|                          |                               |  |
| (SEAL)                   | me n. Cl                      | Notary Public  |
|                          | 1 7100 1100                   |  |
|                          | JUNE M. CLA                   | MLIC (print name)  |
|                          | My Commission expires         | 11 / 10 / 2006   |
|                          | -                             |  |

| Inventor's Signature:  |
|--|
| Maria S. Gawryl  |
| State/Commonwealth   |
| of Massachusetta JUNE 1. CLARK Notary Public   |
| of Massachusetts  County of Middlese x  JUNE 1. CLARK Notary Public Commonwealth of Massachuse My Commission Expires November 10, 2006                                     |
| Then personally appeared before me the above-named Maria S. Gawryl and acknowledged that she executed the foregoing instrument as her free act and deed this               |
| (SEAL) Notary Public   |
| June m. CLARK (print name)   |
| My Commission expires 11 / 10 / 2006   |
| Inventor's Signature:  |
| State/Commonwealth William R. Light  |
| of   |
| Then personally appeared before me the above-named William R. Light and acknowledged that he executed the foregoing instrument as his free act and deed this day of, 2002. |
| (SEAL) Notary Public   |
| JUNE M. CLARK (print name)   |
| My Commission expires 11 / 10 / 2006   |

| Inventor's Signature:  | Reveal Begai   |
|--|--|
| State/Commonwealth  of   | June Marqai  June M. Clark  Notary Public  Commonwealth of Massachusetts  My Commission Expires  November 13, 2006 |
| executed the foregoing instrument as his fraction, 2002.  (SEAL) | Notary Public  CLARK (print name)  on expires 11 / 10 / 200 6  |

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